



**DIVISION OF MINORITY AND WOMEN'S
BUSINESS DEVELOPMENT**

**Annual Report
Fiscal Year 2016-2017**

The Division of Minority and Women’s Business Development

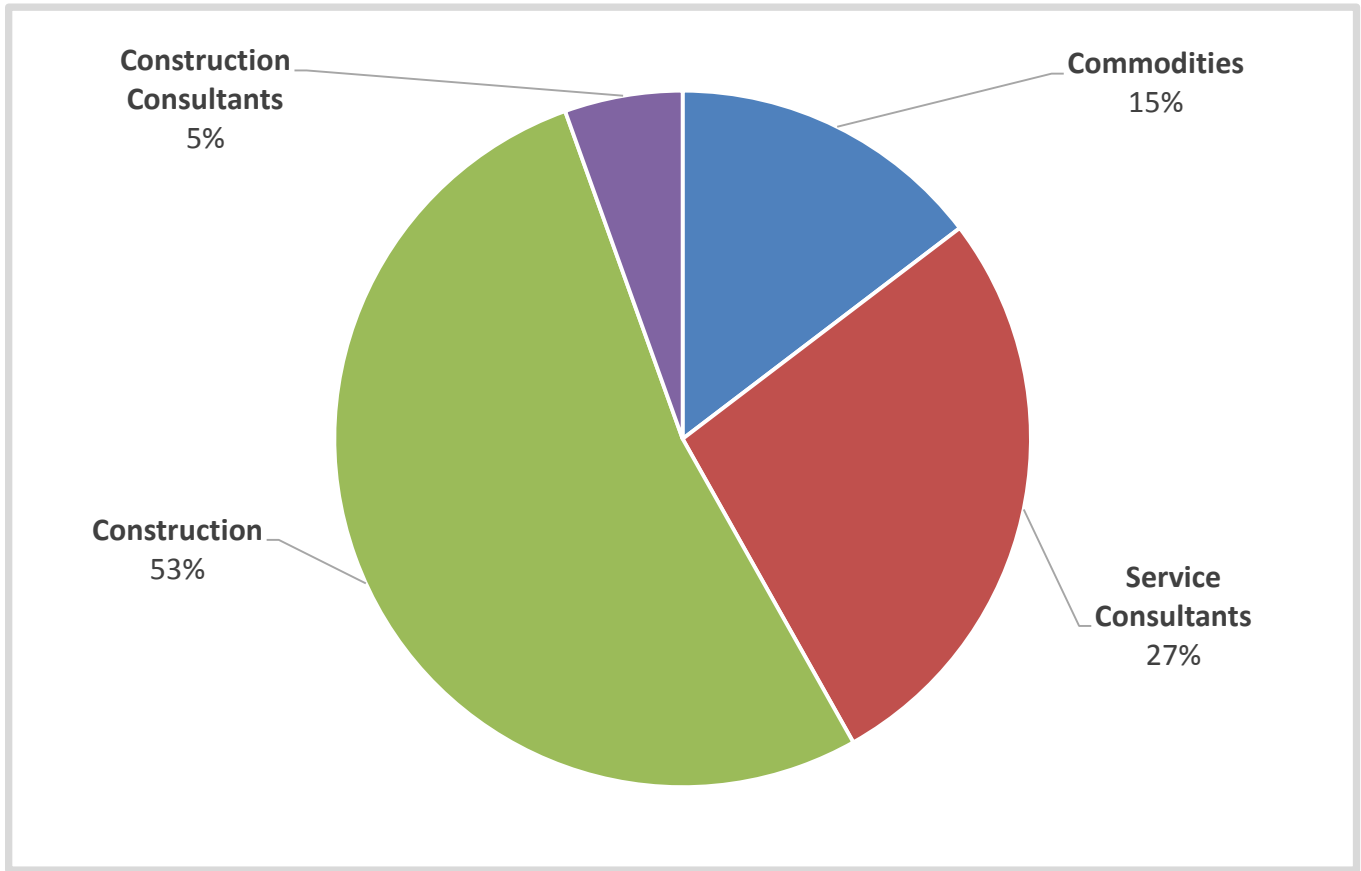
Executive Law Article 15-A (§§ 310-318) governs requirements for the participation of minority- and women-owned business enterprises (“MWBEs”), minority group members and women in New York State contracting. The objective of Article 15-A is to promote equality of economic opportunities for MWBEs, minority group members and women, and to eliminate barriers to their participation in state contracting.

The Division of Minority and Women’s Business Development (“DMWBD” or the “Division”) oversees the statewide MWBE program operated by “state agencies” (which includes, inter alia, state agencies and public authorities) as defined by Article 15-A. The Division is charged with three primary functions: (1) to encourage and assist state agencies that are engaged in contracting activities to award a fair share of state contracts to MWBEs; (2) to review applications by businesses seeking certification as MWBEs and to maintain a directory of certified MWBEs; and (3) to promote the business development of MWBEs through education and outreach to agencies and MWBEs.

MWBE Utilization

	FY2016-2017
Statewide MWBE utilization percentage ¹	27.20%
Total agency and authority spending ¹	\$8,165,019,743
Total MWBE Utilization ¹	\$2,220,523,755
Total Minority Business Enterprise (MBE) Utilization ¹	\$1,031,074,376
Total Women Business Enterprise (WBE) Utilization ¹	\$1,189,449,379

Breakdown of Utilization of MWBEs by Industry²



Waiver and Violation Summary FY2016-2017

Number of Waivers Requested:	1,081
Number of Waivers Granted:	928 ^a
Number of Contract Violations:	27 ^b
Monetary Sanctions/Damages from Violations:	\$1,385,263

^a Waivers were granted due to a lack of MWBE firms available to meet goals

^b Due to contractor failure to meet good faith standard

FY2016-2017 MWBE Utilization

Agency Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization	MWBE %
Adirondack Park Agency	47,043.00	9,957.49	9,799.61	19,757.10	42.00%
Agriculture and Markets	4,583,362.00	405,743.46	1,212,971.90	1,618,715.36	35.32%
Agriculture and New York State Horsebreeding Development	89,755.00	1,522.51	25,746.53	27,269.04	30.38%
Albany County Airport Authority	4,881,141.00	289,523.95	732,040.58	1,021,564.53	20.93%
Albany Port District Commission	1,101,090.00	19,848.73	344,281.01	364,129.74	33.07%
Alcohol Beverage Control Board	94,682.01	5,086.60	8,862.14	13,948.74	14.73%
Alcoholism and Substance Abuse Services	6,962,628.14	480,336.35	3,152,080.65	3,632,417.00	52.17%
Battery Park City Authority	8,012,451.00	1,346,510.25	1,121,754.72	2,468,264.97	30.81%
Bridge Authority	9,865,229.00	451,515.93	1,991,914.45	2,443,430.38	24.77%
Buffalo Fiscal Stability Authority	1,627.00	75.57	1,162.93	1,238.50	76.12%
Capital District Transportation Authority	5,192,689.00	615,943.84	1,201,258.87	1,817,202.71	35.00%
Cayuga County Water & Sewer Authority	26,602.00	0.00	1,610.04	1,610.04	6.05%
City University Construction Fund	126,422,754.00	20,113,639.98	5,873,794.39	25,987,434.37	20.56%
City University of New York	46,301,133.35	5,366,817.19	8,460,595.55	13,827,412.74	29.86%
CNY Regional Transportation	5,375,605.00	201,616.68	1,685,073.25	1,886,689.93	35.10%
Council on the Arts	307,374.00	187,988.45	4,944.48	192,932.93	62.77%
Department of Civil Service	1,202,306.00	32,188.22	224,687.66	256,875.88	21.37%
Department of Corrections and Community Supervision	48,990,173.06	8,527,319.17	4,434,311.21	12,961,630.38	26.46%
Department of Economic Development	9,956,154.66	58,797.72	1,606,720.41	1,665,518.13	16.73%
Department of Environmental Conservation	117,191,072.15	1,178,546.66	16,523,699.15	17,702,245.81	15.11%
Department of Financial Services	2,155,014.00	283,225.21	1,081,561.35	1,364,786.56	63.33%
Department of Health	515,739,404.00	35,935,286.39	139,950,413.37	175,885,699.76	34.10%
Department of Labor	2,134,921.00	1,153,090.18	307,315.46	1,460,405.64	68.41%
Department of Motor Vehicles	11,100,353.00	2,125,789.47	1,716,193.76	3,841,983.23	34.61%
Department of Public Service	1,346,353.00	255,037.30	167,704.60	422,741.90	31.40%
Department of State	5,283,692.00	1,022,208.25	2,547,807.71	3,570,015.96	67.57%
Department of Taxation and Finance	3,040,326.00	517,455.63	1,052,829.34	1,570,284.97	51.65%
Development Authority of North Country	7,215,076.00	1,037,629.35	1,066,493.12	2,104,122.47	29.16%
Division of Budget	616,445.00	93,329.39	162,848.90	256,178.29	41.56%
Division of Criminal Justice Services	2,019,044.00	442,314.15	296,259.93	738,574.08	36.58%
Division of Housing & Community Renewal	174,698,014.00	15,701,685.35	15,238,620.92	30,940,306.27	17.71%
Division of Human Rights	243,885.00	70,093.45	31,433.37	101,526.82	41.63%
Division of Military and Naval Affairs	4,021,592.00	868,896.18	686,264.13	1,555,160.31	38.67%
Division of State Police	9,429,308.00	310,037.22	2,341,384.91	2,651,422.13	28.12%
Dormitory Authority of the State of New York	475,695,950.00	87,136,174.50	50,712,868.14	137,849,042.64	28.98%
Education Department	54,245,857.00	2,707,489.72	7,813,966.06	10,521,455.78	19.40%
Empire Center at the Egg	12,927.00	0.00	3,063.85	3,063.85	23.70%
Empire State Development	743,380,308.00	119,969,739.62	106,042,850.59	226,012,590.21	30.40%

Agency Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization	MWBE %
Energy Research & Development Authority, NYS	17,469,040.00	6,190,713.63	4,298,698.37	10,489,412.00	60.05%
Environmental Facilities Corporation	3,334,998.00	997,783.41	186,902.04	1,184,685.45	35.52%
Erie County Fiscal Stability Authority	20,435.00	997.50	554.86	1,552.36	7.60%
Erie County Medical Center	11,942,943.00	1,409,814.79	2,480,442.56	3,890,257.35	32.57%
Executive Chamber	193,928.00	48,592.99	30,930.21	79,523.20	41.01%
Gaming Commission	155,137,720.83	14,359,008.16	27,389,396.49	41,748,404.65	26.91%
Governor's Office of Employee Relations	87,446.00	50,322.94	28,058.62	78,381.56	89.63%
Higher Education Services Corporation	20,350,191.00	160,402.10	916,159.62	1,076,561.72	5.29%
Homeland Security	2,734,162.00	714,218.54	213,865.43	928,083.97	33.94%
Housing Finance Agency	851,113,698.00	105,697,738.35	120,976,556.84	226,674,295.19	26.63%
Hudson River Black River Regulating District	274,157.00	51,036.42	48,458.79	99,495.21	36.29%
Hudson River Park Trust	11,140,522.00	1,264,425.34	3,898,237.96	5,162,663.30	46.34%
Insurance Fund	49,178,681.00	6,416,146.10	13,061,519.81	19,477,665.91	39.61%
Jacob K. Javits Convention Center	19,514,891.76	2,185,589.22	2,970,042.62	5,155,631.84	26.42%
Joint Commission on Public Ethics	31,987.00	11,521.50	4,201.51	15,723.01	49.15%
Justice Center for the Protection of People with Special Needs	1,444,107.00	424,665.72	647,779.75	1,072,445.47	74.26%
Livingston County Water & Sewer Authority	874,547.00	4,894.28	59,914.63	64,808.91	7.41%
Long Island Power Authority	122,162,001.00	13,052,402.49	15,087,655.18	28,140,057.67	23.04%
Metropolitan Transportation Authority	1,666,401,251.87	211,170,670.48	176,053,426.60	387,224,097.08	23.24%
Nassau County Interim Finance Authority	2,293.00	1,099.25	159.99	1,259.24	54.92%
Nassau Health Care Corporation	26,617,111.00	14,157.00	3,115,867.32	3,130,024.32	11.76%
Natural Heritage Trust	1,889,851.00	1,520.00	360,975.57	362,495.57	19.18%
New York Power Authority	253,853,695.00	29,980,308.00	23,919,373.00	53,899,681.00	21.23%
New York State Department of Transportation	339,715,284.27	22,542,736.11	39,993,618.02	62,536,354.13	18.41%
New York State Thoroughbred Breeding and Development Fund	207,761.00	1,070.63	69,625.10	70,695.73	34.03%
Niagara Falls Water Board	5,964,150.00	0.00	494,538.39	494,538.39	8.29%
Niagara Frontier Transportation Authority	10,409,632.00	688,995.93	3,201,538.66	3,890,534.59	37.37%
Office for the Prevention of Domestic Violence	63,274.00	79.95	56,684.74	56,764.69	89.71%
Office for Victim Services	72,776.00	8,205.95	33,737.61	41,943.56	57.63%
Office of Aging	539,824.98	164,074.39	54,406.52	218,480.91	40.47%
Office of Children & Family Services	23,896,426.12	7,327,579.54	3,770,273.41	11,097,852.95	46.44%
Office of General Services	451,820,507.98	52,709,246.56	80,298,250.08	133,007,496.64	29.44%
Office of Information Technology Services	54,200,228.51	15,939,509.61	12,397,370.01	28,336,879.62	52.28%
Office of Mental Health	71,365,806.00	11,965,001.69	11,261,808.03	23,226,809.72	32.55%
Office of People with Developmental Disabilities	30,138,074.00	4,447,793.93	5,706,821.77	10,154,615.70	33.69%
Office of Temporary and Disability Assistance	92,515,995.00	6,976,445.98	19,773,709.70	26,750,155.68	28.91%
Office of the Inspector General	258,232.00	40,097.74	42,370.27	82,468.01	31.94%
Office of the Medicaid Inspector General	1,602,900.00	755,271.51	295,260.56	1,050,532.07	65.54%
Office of the Welfare Inspector General	16,271.00	2,855.21	2,200.71	5,055.92	31.07%
Ogdensburg Bridge and Port Authority	4,568,516.00	88,716.28	1,229,031.23	1,317,747.51	28.84%

Agency Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization	MWBE %
Olympic Regional Development Authority	2,315,617.00	26,186.04	953,202.40	979,388.44	42.29%
Parks, Recreation & Historic Preservation	179,956,284.00	20,504,222.10	23,882,626.21	44,386,848.31	24.67%
Port of Oswego Authority	209,658.00	1,918.28	76,925.04	78,843.32	37.61%
Public Employment Relations Board	34,063.00	2,875.11	4,543.56	7,418.67	21.78%
Rochester Genesee Regional Transportation Authority	2,315,864.00	467,780.27	381,844.00	849,624.27	36.69%
Roosevelt Island Operating Corporation	14,837,534.00	4,512,598.28	2,948,745.15	7,461,343.43	50.29%
Roswell Park Cancer Institute	31,616,556.10	4,894,920.47	11,181,597.13	16,076,517.60	50.85%
Schenectady Metroplex Development Authority	2,533,363.00	88,388.50	1,152,214.80	1,240,603.30	48.97%
State Board of Elections	282,760.88	9,896.92	16,768.47	26,665.39	9.43%
State Commission on Correction	24,490.00	4,104.06	11,999.38	16,103.44	65.76%
State University Construction Fund	426,470,312.50	54,424,883.08	63,465,204.07	117,890,087.15	27.64%
State University of New York Central	523,036,516.25	94,114,895.62	101,552,837.94	195,667,733.56	37.41%
Syracuse Regional Airport Authority	1,373,836.00	76,013.83	275,843.09	351,856.92	25.61%
Thruway Authority/Canal Corp	209,364,277.86	12,813,022.68	19,483,559.90	32,296,582.58	15.43%
United Nations Development Corporation	14,151,941.00	3,195,542.08	974,189.07	4,169,731.15	29.46%
Upper Mohawk Valley Water Authority	810,024.00	0.00	154,580.57	154,580.57	19.08%
Veterans Affairs	89,005.70	26,906.88	12,184.03	39,090.91	43.92%
Westchester County Health Care Corporation	30,453,987.00	6,699,033.60	3,046,418.50	9,745,452.10	32.00%
Workers Compensation Board	13,034,996.00	2,423,018.55	5,606,484.04	8,029,502.59	61.60%

¹ Utilization Reporting - Utilization and Expenditure Summary

² Utilization Reporting - Agency Utilization Summary